

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 056258-5097
International Application. No.	International Filing Date	U.S. Application No. 10/528092 Unassigned
PCT/GB03/03982	September 12, 2003	Priority Date Claimed September 16, 2002

Title of Invention: **PROCESSES FOR THE PREPARATION OF HETEROCYCLIC HYDROXYAMINES, AND INTERMEDIATES AND CATALYSTS FOR USE THEREIN**

Applicants For EO/EO/US: Ian Nicholas HOUSON

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ Other items or information: PCT/RO/101, PCT/IPEA/409, PCT/IPEA/416, PCT/ISA/220

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16. ☒ The following fees are submitted:
 Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):

NATIONAL STAGE BASIC FEE AMOUNT = \$300.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
☐ 20 ☐ 30 months from the earliest claimed priority date
 (37 C.F.R. § 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total Claims	33-20 =	13	X \$50.00	\$ 650.00
Independent Claims	4-3 =	1	X \$200.00	\$200.00
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$360.00
Application Size Fee - \$250.00 for each additional 50 sheets that exceeds 100				
Search Fee			\$500.00	\$500.00
Examination Fee			\$200.00	\$200.00
TOTAL OF ABOVE CALCULATIONS				
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				
SUBTOTAL =				\$2,210.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
TOTAL NATIONAL FEE =				\$2,210.00
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$40.00
TOTAL FEES ENCLOSED =				\$
Amount to be refunded				\$
Amount to be charged				\$2,250.00

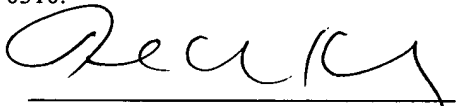
- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-0310 in the amount of **\$2,250.00**
- c. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Date: March 16, 2005

Customer No. 09629

SEND ALL CORRESPONDENCE TO:

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DT03 Rec'd PCT/PTO 16 MAR 2009

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The PTO did not receive the following
listed item(s) *No Post card*